To Use Paid Family Leave To:

Bond with a newborn, a newly adopted or fostered child



Complete Form PFL-1

- · Complete PFL-1, Part A
- · Provide PFL-1 to employer
- Employer completes PFL-1, Part B and returns to you within 3 days



Complete Form PFL-2

 Complete PFL-2 and collect supporting documentation



Send forms and documents

- Send completed forms and supporting documentation to Guardian
- Guardian accepts or denies claim within 18 days

Care for a family member with a serious health condition



Complete Form PFL-1

- · Complete PFL-1, Part A
- · Provide PFL-1 to employer
- Employer completes PFL-1, Part B and returns to you within 3 days



Complete Form PFL-3

- Care recipient completes PFL-3 and provides to health care provider
- Care recipient's health care provider keeps PFL-3



Complete Form PFL-4

- Complete "Employee" information at the top of PFL-4
- Provide PFL-4 to care recipient's health care provider
- Care recipient's health care provider completes PFL-4 and returns to you



Send forms and documents

- Send completed forms and supporting documentation to Guardian
- Guardian accepts or denies claim within 18 days

Assist family members due to another family member's active military duty or impending active duty abroad



Complete Form PFL-1

- · Complete PFL-1, Part A
- · Provide PFL-1 to employer
- Employer completes PFL-1, Part B and returns to you within 3 days



Complete Form PFL-5

 Complete PFL-5 and collect supporting documentation



Send forms and documents

- Send completed forms and supporting documentation to Guardian
- Guardian accepts or denies claim within 18 days

Please keep a copy of all pages for your records.

Mail to: Guardian P.O. Box 981578, El Paso, TX 79998-1578

Fax: 610- 807-2950

Documents can be returned electronically at www.GuardianAnytime.com. Click on "Secure Channel" on the Guardian Anytime home page.

Request For Paid Family Leave (Form PFL-1) Instructions

- To request PFL, the employee requesting PFL must complete Part A of the Request For Paid Family Leave (Form PFL-1).
 All items on the form are required unless noted as optional. The employee then provides the form to the employer to complete Part B.
- The employer completes Part B of the *Request For Paid Family Leave (Form PFL-1)* and returns it to the employee within three days.
- Additional forms are required depending on the type of leave being requested. The employee requesting leave is responsible for the completion of these forms.
- The employee submits the completed Request For Paid Family Leave (Form PFL-1) with the required additional form to Guardian Life Insurance listed on Part B of Request For Paid Family Leave (Form PFL-1). The employee should retain a copy of each submitted form for their records.

PART A - EMPLOYEE INFORMATION (to be completed by the employee)

The employee requesting PFL must complete all required information.

Paid Family Leave (PFL) Request (to be completed by the employee)

Question 12: A child is defined as a biological, adopted, or foster son or daughter, a stepson or stepdaughter, a legal ward, a son or daughter of a domestic partner, or the person to whom the employee stands in loco parentis. A parent is defined as a biological, foster, or adoptive parent, parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.

Questions 13: If dates are "Continuous", the employee must provide the start and end dates of the requested PFL. These dates should be the actual dates that the PFL will begin and end. If uncertain, estimate the start and end dates and indicate "Dates are estimated". If dates are

"Periodic", enter the dates PFL will be taken. Please be as specific as possible. If the dates are unknown orestimated, indicate "Dates are estimated".

If dates are estimated, the PFL carrier may require youto submit a request for payment **after** the PFL day is taken. Payment for approved claims will be due as soon as

possible but in no event more than 18 days from the date of the completed request.

Question 14: If the employee is submitting the PFL request to their employer with less than 30 days' advance notice from the start date of the PFL, the employee must explain why 30 days' notice could not be given. If the explanation will not fit in the space provided on the form, enter "See Attached" and add an attachment with the explanation. Be sure to include the employee's full name and their date of birth at the top of the attachment.

Employment Information (to be completed by the employee)

Question 16: Enter the date of hire to the best of the employee's recollection. If it has been more than a year since the date of hire, entering the year in which employment started is sufficient.

Question 18: Enter the best estimate of average gross weekly wage. Include only the wages earned from the employer listed on this request form. The gross weekly wage is the total weekly pay - including overtime, tips, bonuses and commissions - before any deductions are made by the employer, such as federal and state taxes. If the employer is not able to supply this information, the employee can calculate their gross weekly wage as follows:

Step 1: Add all gross wages received (before any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.)

Step 2: Divide the gross wages calculated in step one by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage.

Step 3: If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add the prorated weekly amount to the average weekly wage. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52.

Example of a gross weekly wage calculation:

Week 1 - Gross wage including overtime \$550

Week 2 - Gross wage \$500

Week 3 - Gross wage \$500

Week 4 - Gross wage \$500

Week 5 - Gross wage \$500

Week 6 - Gross wage \$500

Week 7 - Gross wage, including overtime \$600

Week 8 - Gross wage, including overtime + \$550

Total = \$4,200

Divide by 8 ÷ 8

Average Weekly Wage = \$525

Bonus earned in preceding 52 weeks \$2,600

Divide by 52 ÷ 52

Prorated Weekly Bonus = \$50

Average Weekly Wage \$525

Prorated Weekly Bonus + \$50

Average Weekly Wage (including bonus) = \$575

Please note that the employer is also required to provide

this information in Part B of the Request For Paid Family Leave (Form PFL-1).

The employee requesting PFL must complete all required information.

Form PFL-1 Instructions continued on next page

PART A - EMPLOYEE INFORMATION (to be completed by the employee) - continued from prior page

Form PFL-1 Instructions continued from prior page

If you are pre-submitting form: Indicate if the employee is pre-submitting their PFL request. Pre-submitting is defined as submitting the application in advance of an upcoming qualifying event, with certain required information missing due to the information being unknown at the time of the submitting. If pre-submitting is permitted by the carrier or self-insured employer, the missing information must be supplied as soon as it is known. Benefits cannot be determined until all of the required information is provided.

The PFL insurance carrier or self-insured employer will provide the employee a notice within five days which 1) states the claim is pending; 2) identifies what information is missing; 3) instructs how to submit the missing information. **Once all information is supplied, the PFL insurance carrier or self-insured employer has 18 days to pay or deny the claim.**

If the carrier or self-insured employer does not permit pre-submitting, the carrier or self-insured employer must return the Request for Paid Family Leave within five days to the employee with an explanation that the claim should be re-submitted when all information is available.

Employee signs and dates, before giving this form to their employer to complete Part B.

PART B - EMPLOYER INFORMATION (to be completed by the employer)

The employer of the employee requesting PFL must complete all information in Part B.

Question 2: If a Social Security Number is used for the Federal Employer Identification Number (FEIN), enter the Social Security Number.

Question 3: Enter the employer's Standard Industrial Classification (SIC) Code. Contact your carrier if you don't know your SIC code.

Question 8: The employee occupation code can be found at: www.bls.gov/soc/2018/major_groups.htm

Question 9: Enter the wages earned by the employee during the last eight weeks preceding the PFL start date. The gross amount paid is the employee's gross weeklypay, including any overtime and tips earned for that week, plus the weekly prorated amount of any bonus or commission received during the preceding 52 weeks. (For detailed steps, see Question 18 on page 1 of the instructions.) Calculate the gross average weekly wage by adding up the gross amounts paid, and then divide by eight (or number of weeks worked if less than eight).

Question 10: Failure to select "Yes" for requesting reimbursement from the insurance carrier, will result in a waiver of the right to reimbursement.

Question 11a: 'Disability' refers to NYS statutory required disability. If the answer is "none," enter a "0" for total weeks and days in Question 12b.

Question 11b: The maximum number of weeks available for NYS statutory disability and PFL in any 52 week period is 26 weeks. Specify the total number of weeks, as well as the number of additional days if the leave includes a partial week, taken for NYS statutory disability and PFL during the preceding 52 weeks.

Question 13, 14 & 15: Enter the Paid Family Leave or Disability/PFL insurance carrier's name, address and PFL policy number. If this employer is self-insured, enter the name and address of where the PFL request should be submitted for processing.

Affirmation employee is eligible for PFL: An employee who regularly works 20 hours or more per week must have been in employment for at least 26 consecutive weeks. An employee who regularly works less than 20 hours per week must have worked 175 days.

Employer signs and dates, and then returns to the employee requesting PFL within three business days.

Be sure to complete the appropriate additional PFL form(s) based on the type of PFL leave being requested.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



Request For Paid Family Leave

(Form PFL-1)

Plan #	INSTRUCTIONS INCLUDED WITH FORM

P	ART A - EMPLOYEE INFO	RMATION (to be completed by the	ne employee)
1.	Employee's legal name(first	name, middle initial, last name)	Optional (for research purposes)
2.	Other last names, if any, und	er which employee has worked	10. Employee's ethnicity/race For purposes of health demographic only. (U.S. Centers for Disease Control and Prevention (CDC) code set, version 1.0.)
3.	Employee's mailing address Street address City, State		Is employee of Hispanic, Latino/a, or Spanish origin? (One or more categories may be selected.) Mexican Mexican American Chicano/a
	Zip code	Country (if not U.S.A.)	Puerto Rican Dominican Cuban
4.	Employee's Social Securit	y Number or TIN	Another Hispanic, Latino/a, or Spanish origin Not of Hispanic, Latino/a, or Spanish origin Unknown
5.	Employee's date of birth (MM/DD/YYYY)		What is employee's race? (One or more categories may be selected.)
6.	Employee's primary telephone number		American Indian or Alaska Native Black or African American Asian Indian
7.	Employee's preferred email address while on PFL (if available) Employee's gender Male Female Not designated/Other		Chinese Filipino Japanese
8.			Korean Vietnamese Other Asian
9.	Employee's preferred lang English Español 中文 Italiano Other	uage Pусский Polski Kreyòl ayisyen 한국어	White Native Hawaiian Guamanian or Chamorro Samoan Other Pacific Islander
F	aid Family Leave (PFL) F	Request (to be completed by the e	Other race
11	Reason for PFL request:	Bond with child Care for family m	nember Military qualifying event
12	The family member is emp	ployee's: pmestic partner Parent Parent-in	n-law Grandparent Grandchild
			Form PFL-1 continued on next page

Employee's signature	Date signed (MM/DD/YYYY)		

I am submitting this form in advance (see instructions about pre-submitting). I understand the insurance carrier will contact me to advise how to submit the required missing information.

10. If employee received or will receive full wages while on PFL, will employer be requesting reimbursement? \(\subseteq \text{YES} \subseteq \text{NC}

		AGE	Plan #
TO BE COMPLETED BY THE EMPLOYEE			Employee's social security #
Employee's name(first name, middle initial, last name)			Employee's date of birth (MM/DD/YYYY)
PART B - EMPL	OYER INFORM	ATION (to be completed	by the employer) - continued from prior page
Form PFL-1 continue	d from prior page		
11a. In the precedi	ng 52 weeks has t	he employee taken leave fo	r: NYS Disability PFL Both Disability and PFL None
1b. Enter the tot	al number of we	eks and days taken for b	oth Disability and PFL in the last 52 weeks:
	Weeks	Please provide specific of	-
B:			
Disability:	Days		
	Weeks	Diagon provide enecifie	Notes for DEL
	vveeks	Please provide specific of	dates for PPL.
PFL:	Days		
	Days		
3. PFL insuranc	e carrier's name	Medical Leave Act (FML)	A) concurrently with PFL? Yes No
3. PFL insuranc	e carrier's name arrier's name e Insurance	•	A) concurrently with PFL? Yes No
3. PFL insurance of Guardian Life Mailing address	e carrier's name arrier's name e Insurance	•	A) concurrently with PFL? Yes No Zip code Country (if not U.S.A.)
3. PFL insurance of Guardian Life Mailing address PO Box 9815	e carrier's name arrier's name e Insurance	•	
PFL insurance of Guardian Life Mailing address PO Box 9815 City, State El Paso, TX	e carrier's name arrier's name e Insurance	and mailing address	Zip code
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I3. PFL insurance of Guardian Life Mailing address PO Box 9815 City, State El Paso, TX I4. PFL insurance of Guardian Specific Information Declaration and solutions I affirm the en	e carrier's name e Insurance 778 e carrier's teleph mber c If employee please indicatignature nployee regularly	and mailing address none number (8 0 0 received or will receive full rate the dates employee is p	Zip code 79998-1578 Country (if not U.S.A.)) 2 6 8 - 2 5 2 5 wages while on PFL and employer is requesting reimbursement, aid from through.
PFL insurance of Guardian Life Mailing address PO Box 9815 City, State El Paso, TX 14. PFL insurance 15. PFL policy nu Guardian Specific Information Declaration and s I affirm the enconsecutive v	e carrier's name e Insurance 78 e carrier's teleph mber c If employee please indicatignature nployee regularly veeks OR the em	and mailing address none number (8 0 0 received or will receive full attemption to the dates employee is property works 20 or more hours apployee regularly works I	Zip code 79998-1578 Country (if not U.S.A.) 1 2 6 8 - 2 5 2 5 wages while on PFL and employer is requesting reimbursement, aid from through. s per week and has been in employment for at least 26 ess than 20 hours per week and has worked at least 175 days.
PFL insurance of Guardian Life Mailing address PO Box 9815 City, State El Paso, TX 14. PFL insurance I5. PFL policy nu Guardian Specific Information Declaration and s I affirm the en consecutive v Any person who knowing materially false info	e carrier's name e Insurance 778 e carrier's teleph mber c If employee please indicating in the please indicating in the please of the employee regularly weeks OR the employee regularly weeks OR the employee rounding in the properties of the p	and mailing address none number (8 0 0 received or will receive full ate the dates employee is possible of the purpose of misleading, in	Zip code 79998-1578 Country (if not U.S.A.) Description of the country (if not U.S.A.) Description of the country (if not U.S.A.) Description of the country (if not U.S.A.) Country (if not U.S.A.) Country (if not U.S.A.) The country (if not U.S.A.)
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Any person who knowing materially false information I have proving formation I have proving formation I have proving materially false information I have proving materially I	e carrier's name arrier's name e Insurance 778 e carrier's teleph mber If employee please indication ignature nployee regularly veeks OR the em ngly and with intent to promation, or conceals hall also be subject to zed to sign as the em ided is true and accur	and mailing address none number (8 0 0 received or will receive full attemption to the dates employee is provided and any insurance company for the purpose of misleading, in a civil penalty not to exceed five apployer of the employee requestion.	Zip code 79998-1578 Decode Towns (if not U.S.A.) Decode Towns (i



Bonding Certification (Form PFL-2) Instructions

If the employee is requesting PFL to bond with a newborn, an adopted child or a foster child, the employee must submit the Bonding Certification (Form PFL-2) with the Request For Paid Family Leave (Form PFL-1).

BONDING CERTIFICATION (to be completed by the employee)

The employee requesting PFL must complete all applicable requested information. Send completed forms and supporting documentation to insurance carrier.

If this form is being submitted in advance (pre-submitting) and some information is unknown, the insurance carrier will contact the employee and explain how to provide the required additional information.

Questions 1 & 2: If the form is submitted to the PFL insurance carrier prior to the birth of a child, this is considered presubmitting. The employee is then required to provide the required documentation of the child's birth to the PFL insurance carrier. The PFL carrier will tell the employee how to provide the required additional documentation.

There may be instances where PFL can be taken before the adoption or foster care is finalized. For example, the employee may be required to appear in court or travel to another country as part of the adoption or foster care process. The employee should include documentation to show that the PFL is necessary to further the adoption or foster care.

Question 5: See chart below for documentation details. Unless specified, do not send the original documents.

Bonding Form/Certification	Description
Health care provider certification of pregnancy	An original letter obtained from the birth mother's health care provider that certifies pregnancy. It should include the mother's name and the expected due date.
Health care provider certification of birth	An original letter obtained from the birth mother's health care provider that includes the mother's name and child's date of birth.
Birth Certificate	A copy of the certificate issued by the city or county office in which the child is born.
Voluntary Acknowledgment of Paternity (Form LDSS-4418)	A copy of the form that establishes legal fatherhood when the parents are unmarried. Completed by both mother and father. For more information, see childsupport.ny.gov/dcse/aop howto.html
Court Order of Filiation	A copy of the order from the family court that names the father of a child. Establishes legal fatherhood when the parents are unmarried. Completed by both mother and father. For more information, visit childsupport.ny.gov/dcse/aop howto.html
Marriage Certificate	A copy of the official statement issued by the town or city clerk from which the marriage certificate was issued.
Civil union/domestic partner's documentation	A copy of the certificate of civil union or domestic partnership.
Foster care placement letter	A copy of the letter of foster care placement issued by the county or city department of social services or authorized voluntary foster care agency.
Court documents of adoption	A copy of the court document finalizing adoption or documentation in furtherance or court order finalizing adoption.
Other documentation	Other documentation of parental relationship may be accepted if none of the others listed apply.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



Request For Paid Family Leave Bonding Certification (Form PFL-2)

INSTRUCTIONS INCLUDED WITH FORM

		INSTRUCTIONS INCLUDED WITH FORM
TO BE COMPLETED BY THE EMPLOYEE		Plan #
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)	
Other last names, if any, under which employee has worked	Employee's Social Security	Number or TIN
, , , , , , , , , , , , , , , , , , , ,		
Employee's mailing address		
Mailing address		
City, State	Zip code	Country (if not U.S.A.)
BONDING CERTIFICATION (to be completed by the emp	loyee)	
1. Child's date of birth (MM/DD/YYYY)		
2. Child's gender Male Female Not designated/Other		
	′es No	
4. Child is employee's:		
Biological child Stepchild Foster child Adopted child	Legal ward Spouse/Dome	estic partner's child Loco parentis
5. Select one of the following and attach the document as re	<u> </u>	· ·
Parent of newborn child:	1	
Birth mother:		
Health care provider certification of pregnancy (include expected d	lue date AND mother's name); OR	
Health care provider certification of birth (include date of birth of ch	nild AND mother's name); OR	
Child's birth certificate		
Other parent:		
Copy of birth certificate naming second parent; OR		
U Voluntary acknowledgment of paternity; OR		
Court order of filiation; OR		
Birth mother documents (see above) PLUS one of the following:		
Marriage certificate; OR		
Certificate of civil union; OR		
Evidence of domestic partnership OR; Other documentation of parental relationship		
Foster parent: Letter of foster care placement or anticipated placement issued by cou	inty or city department of Social Services	e or authorized voluntary foster care agency
Adoptive parent:	inty of city department of Social Services	s of authorized voluntary loster care agency
Court document finalizing adoption		
Documentation in furtherance of adoption		
	WWDD0000	
6. Date of foster care or adoption placement, if applicable (M	IM/UU/YYYY)	
		Form PFL-2 continued on next page

FORM PFL-2 - CONTINUED FROM PRIOR PAGE	Plan #
TO BE COMPLETED BY THE EMPLOYEE	Employee's social security #
Employee's name(first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)
BONDING CERTIFICATION (to be completed by the e	employee) - continued from prior page
Form PFL-2 continued from prior page	
Declaration and signature	
any materially false information, or conceals for the purpose of misleading	any or other person files an application for insurance or statement of claim containing , information concerning any fact material thereto, commits a fraudulent insurance act, five thousand dollars and the stated value of the claim for each such violation.
I am hereby making a request for paid family leave benefits under the NYS providing is true and accurate to the best of my knowledge and belief.	S Workers' Compensation Law. My signature affirms that the information I am
Employee's signature	
	Date signed (MM/DD/YYYY)

Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3) Instructions

- If an employee is requesting PFL to care for a family member with a serious health condition, the care recipient or an authorized representative must complete a Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3) and submit it to their health care provider, along with a copy of the Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4).
- The Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3) enables the health care provider to complete Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4) and release it to the employee seeking PFL benefits.
- Before completing and signing, the care recipient must read the Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3) in its entirety.
- The employee requesting PFL submits both the Request For Paid Family Leave (Form PFL-1) and the Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4) to their employer's PFL insurance carrier, or to their employer if the employer is self-insured, for PFL benefit determination.

NOTE: This form will be retained by the health care provider. The employee should make a copy for their records before giving it to the health care provider. Do not return this form to Guardian.

Care recipient or authorized representative signs and dates.

This form is given to the care recipient's health care provider along with the Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4).

RELEASE OF PERSONAL HEALTH INFORMATION BY THE HEALTH CARE PROVIDER FOR A FAMILY MEMBER WITH A SERIOUS HEALTH CONDITION (to be completed by the care recipient or authorized representative and submitted to care recipient's health care provider with Form PFL-4)

Employee enters their name, and care recipient's (patient's) name and date of birth at the top of each page.

The PFL insurance carrier name requested at the top of the form is the same as the PFL insurance carrier identified in Request For Paid Family Leave (Form PFL -1) Part B line 13.

Care recipient or authorized representative must complete all applicable requested information.

If a care recipient is unable to fill out this form, an authorized representative must attach a copy of legal documentation, such as a health care proxy or power of attorney, permitting the representative to sign on behalf of the care recipient. The health care provider will require this documentation of authorization unless the authorized representative is a parent signing on behalf of a minor child.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.

S Guardian



Request For Paid Family Leave

Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3)

INSTRUCTIONS INCLUDED WITH FORM - DO NOT RETURN THIS FORM TO GUARDIAN

TO BE COMPLETED BY THE EMPLOYEE		Plan #
Employee's name (first name, middle initial, last name)		
Care recipient's (patient's) name (first name, middle initial, last name	Care recipient's (patient's) date of birth (MM/DD/YYYY)	
RELEASE OF PERSONAL HEALTH INFORMATION E WITH A SERIOUS HEALTH CONDITION (to be comple submitted to care recipient's health care provider with F	eted by the care recipient or author	
Care recipient's (patient's) name		
l,	, authorize my health care provide	r listed on this form to
Employee's name		
release my personal health information to		and their
PFL insurance carrier's name		
employer's PFL insurance carrier		
Records Subject to Release: This form gives the health care care records on the attached medical certification. This form ginformation in your health care records that relate to your currefamily Leave benefits.	gives your health care provider permiss	ion to release only the
Duration of Revocable Release: This authorization ends after release at any time. To cancel, send a letter to the health care		lease. You can cancel this
This form does NOT allow your health care provider to release such release. Put an "X" next to any information your health p		ess you specifically permit
HIV/AIDS related information Mental health information Ale	cohol/drug treatment Psychotherapy note	es
Health Care Provider Information (to be completed by	by the care recipient or authorized re	epresentative)
Identify the health care provider who is currently providing you request for PFL benefits.	u with treatment for a condition that is s	ubject to the employee's
1. Health care provider's name		
2. Health care provider's mailing address		
Mailing address		
City, State	Zip code	Country (if not U.S.A.)
3. Health care provider's telephone number (provide area or o	country code)	
	1	Form PFL-3 continued on next page

FORM PFL-3 - CONTINUED FROM PRIOR PAGE

TO BE COMPLETED BY THE EMPLOYEE	Plan #
Employee's name (first name, middle initial, last name)	
Care recipient's (patient's) name (first name, middle initial, last name)	Care recipient's (patient's) date of birth (MM/DD/YYYY)
	Y THE HEALTH CARE PROVIDER FOR A FAMILY MEMBER ted by the care recipient or authorized representative and rm PFL-4) - continued from prior page
Form PFL-3 continued from prior page	
Care Recipient Information (to be completed by the ca	are recipient or authorizedrepresentative)
4. Care recipient's mailing address	
Mailing address	
City, State	Zip code Country (if not U.S.A.)
 5. Care recipient's Social Security Number	de)
READ AND SIGN BELOW I hereby request that the health care provider listed give a comp Member With Serious Health Condition (Form PFL-4) to the em information includes a diagnosis and prognosis of my current co of care that I require from the employee requesting PFL benefit	nployee identified on the PFL-4 form. I understand that such ondition, the date it commenced, and any estimation of the amount
Care recipient's signature	Date signed (MM/DD/YYYY)
Authorized representative	
Print name	, represent the care recipient in this matter as authorized by:
Parental right Power of attorney (attach copy) Court order (a	attach copy) Health care proxy (attach copy)
Authorized representative's signature	Date signed (MM/DD/YYYY)
The employee should retain	in a copy for their own records.



Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4) Instructions

The employee requesting PFL to care for a family member with a serious health condition must submit the Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4) with the Request For Paid Family Leave (Form PFL-1).

Employee:

- Employee enters their name, date of birth, other last names, if any, under which they have worked, Social Security or Taxpayer Identification Number (TIN) number, mailing address, and care recipient's (patient's) name and date of birth at the top of page 1.
- Employee enters their name and date of birth, and care recipient's (patient's) name and date of birth at the top of page 2.
- Employee gives the Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4) to the health care provider.

HEALTH CARE PROVIDER CERTIFICATION FOR CARE OF FAMILY MEMBER WITH SERIOUS HEALTH CONDITION (to be completed by the health care provider for the care recipient (patient) and returned to the employee identified above)

The patient's health care provider must complete all applicable requested information unless noted as optional.

Patient Information / family member with serious health condition (to be completed by the health care provider for the care recipient (patient) and returned to the employee identified above)

Question 2: Providing the optional ICD-10 code is recommended.

The patient's health care provider must complete the Patient Information and Health Care Provider sections of the Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4).

Health care provider signs and dates, and then returns the form to the employee requesting PFL.

If you believe the patient is the victim of abuse or neglect caused by the employee requesting PFL, you may decline to provide this certification.

Employee:

 When you receive the completed Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4) form from the health care provider, send the completed forms and supporting documentation to the insurance carrier.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



Paid Family
Health Care Provider Certification For Care Of Family
Member With Serious Health Condition (Form PFL-4)

INSTRUCTIONS INCLUDED WITH FORM

TO BE COMPLETED BY THE EMPLOYEE	Plan #	
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)	
Other last names, if any, under which employee has worked	Employee's Social Security Number or TIN	
Employee's mailing address		
Mailing address		
City, State	Zip code Country (if not U.S.A.)	
Care recipient's (patient's) name (first name, middle initial, last name)	Care recipient's (patient's) date of birth (MM/DD/YYYY)	
Sare recipient 3 (patient 3) name (motivaine, middle middle, idstriaine)	/ / / / / / / / / / / / / / / / / / /	
HEALTH CARE PROVIDER CERTIFICATION FOR CARE O	OF FAMILY MEMBER WITH SERIOUS HEALTH CONDITION	
(to be completed by the health care provider for the care recip	ient (patient) and returned to the employee identified above)	
for the care recipient (patient) and returned to the employe	th condition (to be completed by the health care provider ee identified above)	
1. Does patient require care by the employee requesting Pai Yes No (If no, skip to "Health Care Provider Information".)	d Family Leave(PFL)?	
Note: For the purposes of this section, "providing care" may include necess transportation, arranging for a change in care, assistance with essential dai		
2. Primary ICD-10 code (optional)		
2. Frimary ICD-10 code (optional)		
3. Diagnosis		
4. Date patient's condition commenced (MM/DD/YYYY)	<i>I</i>	
	, , , , , , , , , , , , , , , , , , , ,	
5. First date care for patient is needed (MM/DD/YYYY)		
6. Expected date patient will no longer require care (MM/DD/Y	(YY)	
7. Estimated number of days per week OR days per month p	patient requires care Days/week Days/month	
	OR	
Health Com Busides Information (L. I		
Health Care Provider Information (to be completed by the returned to the employee identified above)	ne health care provider for the care recipient (patient) and	
8. Health care provider's name		
	Form PFL-4 continued from prior page	

ORM PFL-4 - CONTINUED FROM PRIOR PAGE	Plan #	
TO BE COMPLETED BY THE EMPLOYEE	Employee's social security #	
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY) / / / / / / / / / / / / / / / / / / /	
Care recipient's (patient's) name (first name, middle initial, last name)		
	OF FAMILY MEMBER WITH SERIOUS HEALTH CONDITION cipient (patient) and returned to the employee identified above)	
Form PFL-4 continued from prior page		
9. Type of health care provider:		
Doctor of Podiatric Medicine (DPM) Nurse Prac	DS/DDM) Licensed Social Worker (LMSW/LCSW) s Assistant (PA) Other (specify) Ctitioner (NP) Psychologist	
10. Health care provider's mailing address Mailing address		
City, State	Zip code Country (if not U.S.A.)	
11. Health care provider's telephone number (provide area or or	country code)	
12. Health care provider's fax number (provide area or country code)		
13. Health care provider's email address (if available)		
14. State or country (if not U.S.A.) in which health care pro	ovider is licensed to practice	
15. Specialty		
16. Health care provider's license number		
Certification and signature		
	y or other person files an application for insurance or statement of claim containing iformation concerning any fact material thereto, commits a fraudulent insurance act, a thousand dollars and the stated value of the claim for each such violation.	
My signature attests that the information I have provided in this form is based	d on my professional assessment within my licensed scope of practice.	
Health care provider's signature	Date signed (MM/DD/YYYY)	

Military Qualifying Event (Form PFL-5) Instructions

If an employee is requesting PFL because of a family member's covered active military duty or impending covered active duty, the employee must submit the *Military Qualifying Event (Form PFL-5)* with the *Request For Paid Family Leave (Form PFL-1)*.

The employee must identify the family member, provide a copy of the member's covered active duty orders or impending active duty orders, and describe the reason leave is being requested.

MILITARY QUALIFYING EVENT (to be completed by the employee)

The employee requesting PFL must complete all applicable requested information.

Employee enters their name, date of birth, other last names, if any, under which they have worked, Social Security or Taxpayer Identification Number (TIN) number, and mailing address at the top of page 1.

Employee enters their name and date of birth at the top of page 2.

Questions 1-5: Enter the military member's information, and indicate the military member's relationship to the employee.

Question 6: Enter dates of expected military covered active duty.

Question 7: Documentation that shows that the military member is on covered active duty or has been notified of an impending call or order to covered active duty is required and must be attached to this form. Select the type of documentation that is attached from the list below.

Required documentation includes one of the following:

- · Covered active duty orders; OR
- · Letter from the military unit documenting impending call or order to covered duty; OR
- Documentation of military leave signed by the approving authority for military member's Rest and Recuperation.

Qualifying Reason for Leave (to be completed by the employee)

Question 8: Explain the need for PFL because of the Military Qualifying Event. For example: "My spouse was just called on short notice to covered active duty status, and will be deployed to (country) in five days. I need to take PFL to be with them and make arrangements for while they are away on active duty." If the explanation will not fit in the space provided on the form, enter "See Attached" and add an attachment with the explanation. Be sure to include the employee's full name, date of birth, other last names, if any, under which they have worked, Social Security or Taxpayer Identification Number (TIN) number, and mailing address at the top of the attachment.

Question 9: Include one or more of the qualifying supporting documents:

- Meeting announcement for informational briefing sponsored by the military; or
- Document(s) confirming an appointment with a school official, doctor, attorney or financial advisor; or
- Copy of a bill for services for the handling of legal or financial affairs.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



Request For Paid Family Leave Military Qualifying Event (Form PFL-5)

INSTRUCTIONS INCLUDED WITH FORM

TO BE COMPLETED BY THE EMPLOYEE	Plan #	
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)	
Other last names, if any, under which employee has worked	Employee's Social Security Number or TIN	
	_	
Employee's mailing address		
Mailing address		
City, State	Zip code Country (if not U.S.A.)	
,		
MILITARY OLIAL IEVING EVENT (to be completed by th	oc ompleyee)	
MILITARY QUALIFYING EVENT (to be completed by the	ie employee)	
1. Name of military member on covered active duty or imp	pending call to covered active duty status (international	
deployment) (first name, middle initial, lastname)		
2. Military member's date of birth (MM/DD/YYYY)		
2. Military member's date of birth (MM/DD/YYYY)	/	
3. Military member's gender Male Female Note	designated/Other	
4. Military member's mailing address		
Mailing address		
City, State	Zip code Country (if not U.S.A.)	
, , , , , , , , , , , , , , , , , , ,		
5. The above-named military member is employee's:	Spouse Domestic partner Child Parent	
6. Period of military member's covered active duty (MM/DD/	YYYY)	
1 1 to 1		
7. Please select one of the following and attach the indica		
covered active duty or impending call or order to cover	-	
Covered active duty orders Letter of impending call or order to	o covered duty Documentation of military leave signed by the approving authority for military member's Rest and Recuperation	
Qualifying Reason For Leave (to be completed by the	employee)	
8. What is the reason employee is requesting PFL? (One or	more reasons may be selected.)	
	member's representative before a federal, state, or local agency for purpose of	
	ng, or appealing military service benefits	
	nt sponsored by the military or military service organizations	
Making financial arrangements Other		
Making illianciarangements		
Making legal arrangements		
	Form PFL-5 continued on next page	

FORM PFL-5 - CONTINUED FROM PRIOR PAGE	Plan #		
TO BE COMPLETED BY THE EMPLOYEE	Employee's social security #		
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)		
MILITARY QUALIFYING EVENT (to be completed by	the employee) - continued from prior page		
Form PFL-5 continued from prior page			
9. Written documentation supporting this request for le Yes No None Available	ve is available and attached?		
supports the need for leave; such documentation may include a copy document confirming the military member's Rest and Recuperation less chool official, or staff at a care facility; or a copy of a bill for services	FL leave due to a qualifying event includes any available written documentation of a meeting announcement for informational briefings sponsored by the militarave; a document confirming an appointment with a third party, such as a country the handling of legal or financial affairs. If leave is requested to meet with a meeting that includes the name, address, appropriate contact information of number, fax number, or email address of the individual or entity).	ry; a selor or third	
Declaration and signature			
any materially false information, or conceals for the purpose of misleading	any or other person files an application for insurance or statement of claim con, information concerning any fact material thereto, commits a fraudulent insura five thousand dollars and the stated value of the claim for each such violation.	nce act,	
I am hereby making a request for paid family leave benefits under the NY providing is true and accurate to the best of my knowledge and belief.	S Workers' Compensation Law. My signature affirms that the information I am		
Employee's signature	Data signed (MM/DDN/VVV)		
	Date signed (MM/DD/YYYY)		

TO BE COMPLETED BY THE EMPLOYEE		Plan #	
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)		
Employee 3 name (mot hame, middle initial, last hame)	/ / / /		
	_		
Other last names, if any, under which employee has worked	Employee's Social Security Number or TIN		
	_	-	
Employee's mailing address			
Mailing address			
City, State	Zip code	Country (if not U.S.A.)	
only, Glate	Zip code	Country (II not 0.3.A.)	
QUALIFYING REASON FOR LEAVE - DOCUMENTATI	ON		
appropriate contact information of the individual or entity with whom you are individual or entity). The reason for a meeting can include: arranging for child military member's representative before a federal, state or local agency for put any event sponsored by the military or military service organizations.	or parental care, counseling	, making financial or legal arrangements, acting as the	
Please submit this documentat	ion for each required r	neeting/event.	
Name of individual with whom employee is meeting			
Title			
Organization			
Telephone number (provide area or country code)			
Fax number (provide area or country code)			
Email address			
Mailing address			
Mailing address			
City, State	ip code	Country (if not U.S.A.)	
	, · · · · · ·		
Describe nature of meeting. Include dates, if known:			
Describe nature of meeting. Include dates, if known.			



2019 STATEMENT OF RIGHTS FOR PAID FAMILY LEAVE

IF YOU NEED TO TAKE TIME OFF FROM WORK TO CARE FOR A FAMILY MEMBER, YOU MAY BE ENTITLED TO PAID FAMILY LEAVE BENEFITS

Paid Family Leave is employee-funded insurance that provides job-protected, paid time off to:

- · Bond with a newly born, adopted or fostered child;
- · Care for a family member with a serious health condition; or
- · Assist loved ones when a spouse, domestic partner, child or parent is called to active military service abroad.

Eligibility:

- Employees with a regular work schedule of 20 or more hours per week are eligible after 26 consecutive weeks of employment.
- Employees with a regular work schedule of less than 20 hours per week are eligible after 175 days worked.

Citizenship or immigration status is not a factor in your eligibility.

Benefits: In 2019, you can take up to 10 weeks of Paid Family Leave and receive 55% of your average weekly wage, capped at 55% of the New York State average weekly wage. Generally, your average weekly wage is the average of your last eight weeks of pay prior to starting Paid Family Leave.

Rights and Protections:

- Job Protection: Return to the same or comparable job after you take leave.
- You keep your health insurance while on leave (you may have to continue paying your portion of the premium costs, if any).
- · Your employer is prohibited from discriminating or retaliating against you for requesting or taking Paid Family Leave.
- · You do not have to exhaust sick leave or vacation accruals before using Paid Family Leave.

Paid Family Leave Request Process:

- 1. Notify your employer at least 30 days in advance, if foreseeable, or as soon as possible.
- 2. Complete and submit the Request for Paid Family Leave (Form PFL-1) to your employer.
- 3. Complete and attach the additional forms as required and submit to the insurance carrier listed below within 30 days of starting your leave, to avoid losing benefits.
- 4. In most cases, the insurance carrier must pay or deny benefits within <u>18 calendar days</u> of receiving your completed request or your first day of leave, whichever is later.

You may obtain all forms from your employer, their insurance carrier listed below or online at PaidFamilyLeave.ny.gov/Forms.

Disputes:

If your Paid Family Leave claim is denied, you may request to have the denial reviewed by a neutral arbitrator. The insurance carrier listed below will provide you with information about requesting arbitration.

Discrimination Complaints:

If your employer terminates your employment, reduces your pay and/or benefits, or disciplines you in any way as a result of you requesting or taking Paid Family Leave, you may request to be reinstated by taking these steps:

- 1. Complete the Formal Request for Reinstatement Regarding Paid Family Leave (Form PFL-DC-119)
- 2. Send your completed form to your employer and a copy of the completed form to: Paid Family Leave, P.O. Box 9030, Endicott, NY 13761-9030
- 3. If your employer does not reinstate you **or take other corrective action** within 30 days, you may file a discrimination complaint with the Workers' Compensation Board using the *Paid Family Leave Discrimination/Retaliation Complaint (Form PFL-DC-120)*, available at PaidFamilyLeave.ny.gov/Forms. The Workers' Compensation Board will assemble your case and schedule a hearing.
- 4. There are other state and federal laws that protect employees from discrimination. Additional information is available at PaidFamilyLeave.ny.gov.

For more information, forms, and instructions, visit PaidFamilyLeave.ny.gov or call (844)-337-6303.

This information is a simplified presentation of your rights as required by Section 229 of the Disability and Paid Family Leave Benefits Law. Your employer's Paid Family Leave benefits insurance carrier is:

Guardian Life Insurance Company of America 7 Hanover Square, New York, NY 10004 800-268-2525

PRESCRIBED BY THE CHAIR, WORKERS' COMPENSATION BOARD

NYS Paid Family Leave • PO Box 9030, Endicott NY 13761 PFL Helpline: (844) 337-6303 • PaidFamilyLeave.ny.gov